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PTO/SB/21 (09-04)

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Total Number of Pages in This Submission

8 **

Application Number

10/560,147

Filing Date

12/09/2005

First Named Inventor

Peter Hofbauer

Art Unit

2834

Examiner Name

LAM, THANH

Attorney Docket Number

APT-2.009.PCT.US

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/Incomplete Application
 - ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
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- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
 - return receipt postcard
 - IDS non-US patent references
 - statement regarding non-English language references

Remarks

Total number of pages does NOT include non-US patent references.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	GANZ LAW, P.C.		
Signature			
Printed name	Bradley M. Ganz		
Date	10-4-06	Reg. No.	34,170

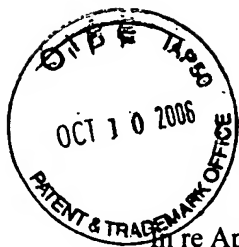
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Typed or printed name	Tracie Brooks	Date	10-4-06

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Peter Hofbauer.

Serial No.: 10/560,147

Filed: December 9, 2005

For: RING GENERATOR

Mailstop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Confirmation No. 9462

Attorney Docket No.: APT-2.009.PCT.US

Art Unit: 2834

Examiner: Marguerite J. McMahon

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Tracie Brooks
Tracie Brooks
Date of Deposit: 10-4-06

STATEMENT IN COMPLIANCE WITH 37 C.F.R. § 1.98(a)(3)(i)

Sir:

The following foreign language patent references are cited on the attached PTO/SB/8A forms:

- Japanese Patent No. 135818
- Japanese Publication No. Sho 55-76827
- Japanese Publication No. Sho 58-10115
- Japanese Publication No. Hei 7-102990
- Japanese Publication No. 2000-104560

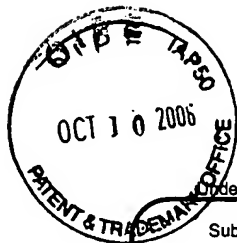
These references are supplied due to their possible relevance. Neither Applicant nor Applicant's representative has read the non-English language texts of each of these references and, therefore, cannot assess its relevance.

Respectfully submitted,

Date:

4 Oct 06

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/560,147
Filing Date	December 9, 2005
First Named Inventor	Peter Hofbauer
Art Unit	2834
Examiner Name	LAM, THANH
Attorney Docket Number	APT-2.009.PCT.US

Sheet 1 of 6

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-1,167,366	01/14/1916	FESSENDEN	
		US-1,232,174	07/03/1917	BACHELET	
		US-1,616,137	02/01/1927	PALMER	
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		US-2,254,817	09/02/1941	BLENKER	
		US-2,693,076	11/02/1954	FRANCIS	
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		US-3,105,153	09/24/1963	JAMES	
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		US-3,347,215	10/17/1967	PESCARA	
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		US-4,087,205	05/02/1978	HEINTZ	
		US-4,205,528	06/13/1980	GROW	
		US-4,369,021	01/18/1983	HEINTZ	
		US-4,515,313	11/15/1983	BOUTHORS	
		US-4,480,599	11/06/1984	ALLIAS	
		US-4,661,050	04/28/1987	DEMINSKI	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	† ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 02/48524	06/20/2002	HOFBAUER		
		WO 03/078809	09/25/2003	HOFBAUER		
		WO 03/078810	09/25/2003	HOFBAUER		
		WO 03/078835	09/25/2003	HOFBAUER		
		GB 531,009	12/27/1940	MOLLER, ET AL		
		DE19503413	08/08/1996	Alb Klein Gmbh		

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Sheet 2 of 6

Complete if Known

Application Number	10/560,147
Filing Date	December 9, 2005
First Named Inventor	Peter Hofbauer
Art Unit	2834
Examiner Name	LAM, THANH
Attorney Docket Number	APT-2.009.PCT.US

U. S. PATENT DOCUMENTS					
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		Number-Kind Code ² (if known)			
		US- 1639334	08-16-1927	H. Ford	
		US- 1875838	09-06-1932	A.E. Winckler	
		US- 2041708	05-26-1936	W. Harper Jr.	
		US- 3485221	12-23-1969	R.S. Feedback	
		US- 3895620	07-22-1975	Foster	
		US- 4248183	02-03-1981	Noguchi , et al.	
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		US- 4429668	02-07-1984	Nakagawa , et al.	
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		US- 4491096	01-01-1985	Noguchi , et al.	
		US- 4565165	01-21-1986	Papanicolaou	
		US- 4720640	01-19-1988	Anderson , et al.	
		US- 5559379	09-24-1996	Voss	
		US- 6147415	11-14-2000	Fukada	
		US- 6541875	04-01-2003	Berlinger , et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO9415073	07-07-1994	Peter Graf Von Ingelheim	abstract & figures	
		DE4243255	06-23-1994	Peter Graf Von Ingelheim	figure 1, abstract	
		DE19503444	05-15-1996	Mercedes-Benz Aktiengesellschaft	abstract & figures	
		FR Pub. 852918	03-06-1940	Maschinenfabrik	figure 1, abstract	
		JP Patent 135818		Helen Loverier		
		JP Pub. Sho 55-76827	05-27-1980	Toyo Kogyo Co. Ltd.		

Examiner Signature	Date Considered
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First Named Inventor	Peter Hofbauer
Art Unit	2834
Examiner Name	LAM, THANH
Attorney Docket Number	APT-2.009.PCT.US

Sheet 3 of 6

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,694,785	09/22/1987	TIMMERMAN ET AL	
		US- 4,815,294	03/28/1989	DAVID	
		US- 4,864,976	09/12/1989	FALERNO	
		US- 4,974,556	12/04/1990	ROYSE	
		US- 4,975,026	12/04/1990	PRUSZENSKI	
		US- 4,270,054	05/26/1981	DOWD	
		US- 4,697,113	09/29/1987	YOUNG	
		US- 4,924,956	05/15/1990	DENG ET AL	
		US- 4,627,389	12/09/1986	SIMON	
		US- 4,977,864	12/18/1990	GRANT	
		US- 5,115,725	05/26/1992	HORIUCHI	
		US- 5,163,388	11/17/1992	JONSSON	
		US- 5,280,213	01/18/1994	DAY	
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		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
		DE19503443	05/15/1995	Mercedes-Benz		
		DE19943993	03/15/2001	Volkswagen AG		

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Sheet 4 of 6

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Application Number	10/560,147
Filing Date	December 09, 2005
First Named Inventor	Peter Hofbauer
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Attorney Docket Number	APT-2.009.PCT.US

U. S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
		US- 5,560,327	10/01/1996	BRACKETT	
		US- 5,586,540	12/24/1996	MARZEC ET AL	
		US- 3,541,362	02/19/1969	POUIT	
		US- 5,654,596	08/05/1997	NASAR	
		US- 5,693,991	12/02/1997	HITERER	
		US- 5,775,273	07/07/1998	BEALE	
		US- 5,794,582	08/18/1998	HORIUCHI	
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		US- 5,884,590	03/23/1999	MINCULESCU	
		US- 5,893,343	04/13/1999	RIGAZZI	
		US- 6,141,971	11/07/2000	HANES	
		US- 6,170,443	01/09/2001	HOFBAUER	
		US- 6,199,519	03/13/2001	VAN BLARIGAN	
		US- 6,513,464	02/04/2003	BUSCH	
		US- 6,513,465	02/04/2003	FUKUOKA ET AL	
		US- 6,513,466	02/04/2003	BIGNION	
		US- RE30,176	12/25/1979	BEALE (reissued US)	
		US-			
		US-			

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Sheet 5 of 6

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		Number-Kind Code ² (if known)			
		US- 20060124084	06-15-2006	Hofbauer, Peter ; et al.	
		US- 5397922	03-14-1995	Paul , et al.	
		US- 4873826	10-17-1989	Dhar	
		US- 4649283	03-10-1987	Berchowitz , et al.	
		US- 20060138777	06-29-2006	Hofbauer, Peter ; et al.	
		US- 4532431	07-30-1985	Iliev, et al.	
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO2005003532	01-13-2005	HOFBAUER, Peter		
		WO05060381C2	07-07-2005	HOFBAUER, Peter		
		JP Pub. Sho 58-10115	01-20-1983	Hitachi, Ltd.		
		JP Pub. Hei 7-102990	04-18-1995	Isuzu Motors Ltd.		
		JP Pub. 2000-104560	04-11-2000	Koji Sakai		

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		Application Number	10/560,147
		Filing Date	12-09-2005
		First Named Inventor	Peter Hofbauer
		Art Unit	2834
		Examiner Name	LAM, THANH
Sheet 6	of 6	Attorney Docket Number	APT-2.009.PCT.US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PCT International Search Report dated June 16 2003 for PCT application no. PCT/US03/08708, filed March 17, 2003, 3 pages	
		PCT International Search Report dated August 21, 2001 for PCT application no. PCT/US00/34122, filed December 15, 2000, 3 pages	
		PCT International Search Report and Written Opinion dated October 19, 2004 for PCT application no. PCT/US04/20590, filed June 25, 2004, 6 pages	
		PCT International Search Report dated September 8, 2003 for PCT application no. PCT/US03/08707, filed March 17, 2003, 3 pages	
		PCT International Search Report dated August 1, 2003 for PCT application no. PCT/US03/08709, filed March 17, 2003, 4 pages	
		PCT International Search Report and Written Opinion dated November 30, 2005 for PCT application no. PCT/US04/20596, filed June 24, 2004, 6 pages	
		PCT Written Opinion dated September 2, 2003 for PCT application no. PCT/US00/34122, filed December 15, 2000, 4 pages	
		PCT International Preliminary Examination Report dated August 18, 2004 for PCT application no. PCT/US00/34122, filed December 15, 2000, 3 pages	

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